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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION N	
10/073,458	02/11/2002	Chang-Hoi Koo	678-810 (P10178)	4395	
28249 . 75	590 04/19/2005		EXAMINER		
DILWORTH & BARRESE, LLP 333 EARLE OVINGTON BLVD.			CLARK, ISAAC R		
UNIONDALE,			ART UNIT	PAPER NUMBER	
			2154		
			DATE MAILED: 04/19/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application	n No.	Applicant(s)				
Office Action Summary		10/073,45	8	KOO ET AL.				
		Examiner		Art Unit				
		Isaac R CI	ark	2154				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1)⊠ Res	sponsive to communication(s) filed o	on <u>11 February 200</u>	<u>)2</u> .					
2a)☐ This	s action is FINAL . 2b)	This action is n	on-final.					
•—	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposition of	of Claims							
4) Claim(s) 1-17 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) □ Claim(s) is/are allowed. 6) □ Claim(s) 1-17 is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or election requirement.								
Application Papers								
9)☐ The specification is objected to by the Examiner.								
10)⊠ The drawing(s) filed on <u>02/11/2002</u> is/are: a) accepted or b)⊠ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority unde	er 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) □ All b) □ Some * c) □ None of: 1. □ Certified copies of the priority documents have been received. 2. □ Certified copies of the priority documents have been received in Application No 3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.								
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2) Notice of 3) Information	References Cited (PTO-892) Draftsperson's Patent Drawing Review (PTO on Disclosure Statement(s) (PTO-1449 or PTO (s)/Mail Date	·	4) Interview Summary Paper No(s)/Mail Do 5) Notice of Informal F 6) Other:		52)			

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

DETAILED ACTION

1. Claims 1-17 are presented for examination.

Priority

- 2. The applicant claims foreign priority under 35 USC § 119(a)-(d) from an Application No. 2001-6535 filed in Korea on 02/09/2001.
- 3. The effective filing date for the subject matter in the pending claims in this application is 02/11/2002.

Drawings

4. The drawings are objected to because in Fig. 3, the base number of bits described for channel 2 in blocks 330 and 350 is "384" which is inconsistent with the specification which describes the bit count as "3840". (See specification page 14, lines 8-20). Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New

Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Objections

- 5. Claim 17 is objected to because of the following informalities:
- 6. As per claim 17, the subject of the phrase "wherein further comprises a data rate control unit" is not given making the claim grammatically incorrect. It is recommended that the phrase be replaced with "further comprising a data rate control unit".

Appropriate correction is required.

Claim Rejections - 35 USC § 112

- 7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 8. Claim 17 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 9. As per claim 17, claim 17 contains the limitation "based on the data rate information received from a mobile station which the mobile station may receive".
- 10. The scope of this limitation is unclear because the claim is not definite as to whether data rate information is or is not received and therefore the method of determining the data rate cannot be definitely determined.
- 11. For the purpose of examining claim 17, it is assumed that the mobile station receives data rate information.

Claim Rejections - 35 USC § 102

12. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 13. Claims 1, 3, 4, 5, 7, 9, and 10 are rejected under 35 U.S.C. 102(e) as being anticipated by Belaiche (US 6,501,748 B1).
- 14. As per claim 1, Belaiche teaches a method of transmitting information having at least first data and second data in a mobile communication system (col. 1, lines 9-25, and lines 64-67; data with disparate QOS), comprising the steps of: separating the information into the first data and the second data in the form of a first data stream with a first predetermined length and a second data stream with a second predetermined length, respectively (col. 2, lines 40-45); generating first and second code symbol sequences by encoding the first and second data streams at a predetermined code rate (col. 3, lines 30-40); repeating one of the first and second code symbol sequences with a higher priority level and puncturing the other code symbol sequence with a lower priority level (col. 4, lines 55-60), the number of repeated code symbols being equal to the number of punctured code symbols (col. 3, lines 49-53); and serially concatenating the repeated code symbol sequence and the punctured code symbol sequence (col. 5, lines 49-52).

- 15. As per claim 3, Belaiche teaches the method of claim 1, wherein if the information is inter-media data, the data streams are separated by priority level when separating the information (col. 2, lines 47-49).
- 16. As per claim 4, Belaiche teaches the method of claim 1, wherein the code symbol sequences are generated in data blocks of lengths determined according to a characteristic of each code symbol sequence and an available data rate on a radio channel (col. 2, lines 13-15; col. 3, lines 25-40).
- 17. As per claim 5, Belaiche teaches the method of claim 4, wherein if the data blocks are less than a data block size available at the data rate, redundancy is added to the data blocks (col. 5, Table 1, puncturing or repeating done to obtain the required block size).
- 18. As per claim 7, Belaiche teaches a method of simultaneously transmitting data having the same or different priority levels to a mobile station in a mobile communication system, comprising the steps of: classifying transmission data streams by priority level (col. 2, lines 41-49) and separating each transmission data stream into data streams of predetermined lengths according to characteristics of the data streams; segmenting the separated data streams according to a data rate (col. 2, lines 40-45); encoding the segmented data at a predetermined code rate (col. 3, lines 30-40), repeating code symbol sequences with higher priority levels, and puncturing code symbol sequences with lower priority levels (col. 4, lines 55-60), the number of repeated code symbols being equal to the number of punctured code symbols (col. 3, lines 49-

53); and serially concatenating the repeated and punctured code symbol sequences (col. 5, lines 49-52).

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- 19. As per claim 9, Belaiche discloses the method of claim 7, wherein the code symbol sequences are distinguishably generated in data blocks of the size determined according to a characteristic of each stream and an available data rate transmittable on a radio channel (col. 2, lines 13-15; col. 3, lines 25-40).
- 20. As per claim 10, Belaiche discloses the method of claim 7, wherein if the data blocks are shorter than lengths provided by the data rate, redundancy is added to the data blocks (col. 5, Table 1, puncturing or repeating done to obtain the required block size).

Claim Rejections - 35 USC § 103

- 21. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 22. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

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consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

- 23. Claims 2 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belaiche (US 6,501,748 B1) as applied to claims 1 and 7 above, in view of Andersen et al. (US 5,674,003, "Andersen").
- 24. As per claims 2 and 8, Belaiche does not teach wherein the separating step further comprises the steps of: determining whether the information is intra-media data; and separating the information into at least two data streams by priority level if the information is intra-media data.
- 25. Andersen teaches designating data packets of intra-media data to indicate the required QOS of diverse data including a priority level (col. 9, lines 5-45; col. 15, lines 54-65; different priority streams assigned different sockets).
- 26. It would have been obvious to one of ordinary skill in this art at the time the invention was made to combine the teaching of Andersen and Belaiche to detect intramedia data and to separate the data by priority because they both deal with providing different quality of service levels to transmitted data. Furthermore, the teaching of Andersen to separate intra-media data by priority allows preserving bandwidth in limited bandwidth situations for the highest priority data thus providing an efficient allocation of resources (See Andersen col. 3, lines 26-35).
- 27. Claims 12-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belaiche (US 6,501,748 B1) in view of Davis et al. (US 6,781,971 B1, "Davis").

- 28. As per claim 12, Belaiche teaches an apparatus for simultaneously transmitting data with the same or different priority levels in a mobile communication system, comprising: separating data into streams based on priority (col. 2, lines 41-49) and separating each transmission data stream into data streams of predetermined lengths according to characteristics and a data rate of the data streams (col. 2, lines 40-45); a multiplexer (MUX) for segmenting the separated data streams according to the data rate; a plurality of multiple quality control (MQC) channels (col. 2, lines 20-25) for encoding the segmented data at a predetermined code rate (col. 3, lines 30-40), repeating code symbol sequences with higher priority levels, and puncturing code symbol sequences with lower priority levels (col. 4, lines 55-60), the number of repeated code symbols being equal to the number of punctured code symbols (col. 3, lines 49-53); and a serial concatenator for serially concatenating the repeated and punctured code symbol sequences (col. 5, lines 49-52).
- 29. Belaiche does not explicitly teach a radio link protocol portion (RLP) for classifying streams by priority.
- 30. Davis teaches a radio link protocol portion for classifying data into streams by priority (col. 11, line 35 col. 12, line 5).
- 31. It would have been obvious to one of ordinary skill in this art at the time the invention was made to combine the teaching of Davis and Belaiche because they both deal with prioritizing diverse data streams communicated over a mobile network.

 Furthermore, the teaching of Davis to modify the system of Belaiche to classify the data in an RLP would reduce the degradation of traffic that cannot tolerate delay by

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designating such traffic as high priority in order to provide additional transmission resources for such data (See Davis, col. 4, line 60 – col. 5, line 14).

- 32. As per claim 13, Belaiche teaches the apparatus of claim 12, wherein each of the MQC channels comprises: a channel encoder 110 (Fig. 1) for encoding the segmented data at the predetermined code rate; and a quality matcher 112 (Fig. 2) for repeating the code symbol sequences with the higher priority levels and puncturing the code symbol sequences with the lower priority levels (col. 4, lines 55-60).
- 33. As per claim 14, Belaiche teaches the apparatus of claim 13, wherein the code symbol sequences are generated in data blocks of lengths determined according to a characteristic of each code symbol sequence and an available data rate on a radio channel (col. 2, lines 13-15; col. 3, lines 25-40).
- 34. As per claim 15, Belaiche teaches the apparatus of claim 14, further comprising a redundancy selector in each MQC channel, for adding redundancy to the data blocks if the data blocks are shorter than lengths provided by the data rate (col. 5, Table 1, puncturing or repeating done to obtain the required block size).
- 35. Claim 16 is are rejected under 35 U.S.C. 103(a) as being unpatentable over Belaiche and Davis as applied to claim 13, further in view of Berrou, C. et al., Near Shannon limit error-correcting coding and decoding: Turbo codes IEEE International Conference on Communications, Volume 2, 23-26 May 1993 Page(s): 1064 1070 vol.2. (hereinafter Berrou).
- 36. As per claim 16, Belaiche teaches channel encoders (col. 3, lines 25-26) but does not explicitly teach that the channel encoders are turbo encoders.

- 37. Berrou teaches the use of turbo encoders (page 1069, last paragraph).
- 38. It would have been obvious to one of ordinary skill in this art at the time the invention was made to combine the teaching of Belaiche and Berrou to encode the data stream in a channel using a turbo encoder because they both deal with adding redundancy to a data stream to achieve a targeted bit error rate (BER). Furthermore, the teaching of Berrou to use turbo encoding would optimize performance by with respect to correcting data in the face of noise interference at nearly theoretical limits thus optimizing the efficiency of transmission in the face of noise and competing encoded traffic (See Berrou page 1064, Abstract).
- 39. Claims 6 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belaiche (US 6,501,748 B1) in view of Kinjo et al. (US 2003/0133497 A1, "Kinjo").
- 40. Applicant cannot rely upon the foreign priority papers to overcome this rejection because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15.
- 41. As per claims 6 and 11, Belaiche as applied to claims 5 and 10 above fails to explicitly teach wherein the redundancy-added data blocks are repeated and punctured including the redundancy.
- 42. Kinjo teaches a second rate matching step in which the redundancy-added blocks are repeated and punctured including the redundancy (Fig. 4, block 90; Paragraph 0122).
- 43. It would have been obvious to one of ordinary skill in this art at the time the invention was made to combine the teaching of Belaiche and Kinjo because they both

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deal with transmission of data in a mobile communication system. Furthermore, the teaching of Kinjo to include a second rate matching apparatus or step in which the redundancy added blocks are repeated or punctured including the redundancy allows selecting a first rate wherein the number of bits is matched to the size of the buffer in the mobile unit while the second rate matching unit adjusts the redundancy to the size of the transport channel (See Kinjo, Paragraph 0007). Providing two rate matching steps allows matching redundancy based on both the buffer in the control unit and the data rate the data recovery needs at the receiver allowing optimizing of transmission and reception error rates (Kinjo, Paragraphs 0122 and 0123).

- 44. Claims 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Belaiche and Davis as applied to claim 12 above and further in view of Kinjo et al. (US 2003/0133497 A1, "Kinjo").
- 45. Applicant cannot rely upon the foreign priority papers to overcome this rejection because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15.
- 46. As per claim 17 (as construed), Belaiche does not explicitly teach a data rate control unit for determining the data rate based on the data rate information received from a mobile station and then providing the determined data rate with the radio link protocol.
- 47. Kinjo teaches a data rate control unit for determining the data rate based on the data rate information received from a mobile station and then providing the determined data rate with the radio link protocol (Paragraph 0103)

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48. It would have been obvious to one of ordinary skill in this art at the time the invention was made to combine the teaching of Belaiche and Kinjo because they both deal with transmission of data in a mobile communication system. Furthermore, the teaching of Kinjo to provide a data rate control unit for determining data rate information and providing the determined data rate selects the frame size the first stage rate matching (Paragraph 0101). Kinjo further teaches that to include a second rate matching apparatus or step in which the redundancy added blocks are repeated or punctured including the redundancy allows selecting a first rate wherein the number of bits is matched to the size of the buffer in the mobile unit while the second rate matching unit adjusts the redundancy to the size of the transport channel (See Kinjo, Paragraph 0007). Providing two rate matching steps allows matching redundancy based on both the buffer in the control unit and the data rate the data recovery needs at the receiver allowing optimizing of transmission and reception error rates (Kinjo, Paragraphs 0122 and 0123).

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Conclusion

- 49. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The following patents and publications are cited to further show the state of the art with respect to "Apparatus and method for providing data service in a wireless system".
 - a. US 6,788,657 B1 Freiberg et al. Transmitting services requiring diverse QOS using CDMA with turbo coding
 - b. US 5,793,744 Kanerva et al. RLP

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Isaac R Clark whose telephone number is (571)272-3961. The examiner can normally be reached on Monday-Friday 8:00am-4:30pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John A Follansbee can be reached on (571)272-3964. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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